



Patent
Docket No. 360842011300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Tetsuo YAMASHITA et al.

Serial No.: 10/500,210

Filing Date: June 28, 2004

For: COLOR FILTER FOR LIQUID
CRYSTAL DISPLAY AND
SEMITRANSMISSION LIQUID
CRYSTAL DISPLAY

Examiner: W. Y. P. Chen

Group Art Unit: 2871

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

Five of the documents listed on the attached Form PTO/SB/08a/b (Cite Nos. 1-5) were listed in a European Search Report mailed on November 22, 2005 (copy attached), directed to a counterpart international application and have not been previously cited; five of the documents listed on the attached Form PTO/SB/08a/b (Cite Nos. 6-7, 9, and 11-12) were listed in the International Search Report dated February 26, 2003 (copy attached), directed to a counterpart international application and have not been previously cited; and five of the documents listed on the

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~~02/23/2006 HAL111 00000117 031852 10500210~~

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attached Form PTO/SB/08a/b (Cite Nos. 8, 10 and 13-16) were referenced in the specification of this application and have not been previously cited.

This Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

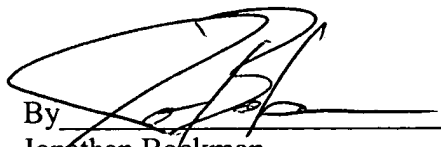
Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 360842011300.

Dated: February 21, 2006

Respectfully submitted,


By _____
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/500,210
Filing Date	June 28, 2004
First Named Inventor	Tetsuo YAMASHITA
Art Unit	2871
Examiner Name	W. Y. P. Chen
Attorney Docket Number	360842011300

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	4,870,484-A	09-26-1989	SONEHARA	
	2.	6,195,140-B1	02-27-2001	KUBO	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	3.	EP-0973058-A1	01-19-2000	SEIKO EPSON CORPORATION		
	4.	EP-1109053-A2	06-20-2001	SONY CORPORATION		
	5.	EP-1109053-A3	07-25-2001	SONY CORPORATION		
	6.	JP-09-197979-A	07-31-1997	NICHIA CHEMICAL INDUSTRIES, LTD.	Translation of Abstract Only	
	7.	JP-2000-66199-A	03-03-2000	SEIKO EPSON	English Abstract	
	8.	JP-2000-111902	04-21-2000	SHARP KK	Translation of Abstract Only	
	9.	JP-2001-33768-A	02-09-2001	SEIKO EPSON CORP.	English Abstract	
	10.	JP-2001-33778	02-09-2001	SEIKO EPSON CORP.	English Abstract	
	11.	JP-2001-125094-A	05-11-2001	FUJITSU LTD	Translation of Abstract Only	
	12.	JP-2002-71938-A	03-12-2002	TORAY INDUSTRIES, INC.	English Abstract	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	13.	Nikkei Macrodevice (1998). Part 3-1, "New Market Development," (1) In Flat Panel Display, p. 126-129.	
	14.	Nikkei Electronics (2002). "Leading Trends," In Nikkei Electronics, 2002.2.25.	
	15.	"Fine Process Technology," Text A5. Paper presented at the 9 th FineProcess Technology Japan '99 Show & Conference, Tokyo, Japan, June 30-July 2, 1999.	
	16.	The Society of Dyers and Colourists ed. (1992). <u>Colour Index International</u> . Third Edition, Fourth Revision, Volume 9. The Charlesworth Group: England.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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